Small Business. Big Savings.

rebalance360.com/401k
Partner with a Leader
Preparing your employees for retirement is complex. At Rebalance, our firm manages over $1.2 billion of client assets, and we have extensive experience guiding companies through all types of compliance and regulatory issues. With Rebalance as your partner, you will benefit from one-on-one dedicated service, backed by leading technology, to ease the complexity and fiduciary aspects of your plan.

Preparing You for What Lies Ahead
Ease Complexity
Minimize your workload and reduce your risk. Let us manage the details and guide you through fiduciary responsibilities.
- Bundled recordkeeping and admin
- Automated, integrated transactions
- Compliance testing and more

Plan Flexibility
You want choices to tailor your plan. We offer you more ways to optimize it.
- Employer match encourages retention
- Safe Harbor increases participation
- Auto enrollment benefits most participants
- Safe Harbor passes most compliance testing
- Safe Harbor
- Cash Balance for up to 4x tax deductions
- Roth 401(k) accounts
- Non-profit – 403(b) and 457 plans
- Personal investment and wealth management

White Glove Service
Initially developed for our wealth clients, our service team assigns each client a professional to help you:
- Convert and onboard the plan
- Work with participants and payroll company
- Proactively schedule plan review meetings
- Help your staff with investing

Key Areas of Retirement Plan Optimization
Administration
- Sophisticated plan design
- Payroll-Integrated Transaction options
- One robust interface or technology
- Testing and tax filing
- Signature Ready Form 5500 Preparation and Review
- Cash Balance can be life-changing and simple to install and administer

Investments
- Plan Advisor 3(38)
- Investment Advisor
- Charles Schwab Custody & Trading
- Model Management by Charles Schwab
- Open Architecture
- Investment Neutrality

Fiduciary Responsibility & Compliance
- Employee Education
- Portfolio Management
- Administration of Plan in Accordance with Plan Provisions
- DOL/ERISA Compliance
- Fee Transparency

Plan Design
- Safe Harbor Plans
- New Comparability Profit Sharing
- Roth Option
- Auto Enrollment
- Cash Balance

Participant Readiness
- Quarterly Education & live 1:1 Enrollment Meetings
- Calculators for Net Pay, Savings Rate, and Gap Analysis
- Two Rebalance dedicated advice & service team members
- 24/7 Control and Access – Web, Mobile, and Phone
- Access to Library of Whitepapers

Total Plan Costs
- Complimentary Annual Plan Benchmark
- Recordkeeping and Administration
- Investment Management
- Financial Advisor
Let’s Connect

David Ranney

VICE PRESIDENT OF SALES

dranney@rebalance360.com   |  (202) 987-6311
linkedin.com/in/david-ranney-6532468